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FILING/RECEIPT DATE APPLICATION NUMBER

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/752,135

Los Angeles, CA 90071

9/2000

Carl Chang

6/029

RIORDAN & MCKINZIE TRADEMAN 300 South Grand Avenue, 29th Floor **CONFIRMATION NO. 2531**

FORMALITIES LETTER

OC000000005767180

Date Mailed: 02/15/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

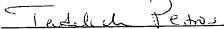
The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper which is flexible, strong, white, smooth, nonshiny, and durable (see 37 CFR 1.84(e));

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Customer Service Center

Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Carl Chang and Francis Quek

Serial No.: 09/752,135

Filing Date: December 19, 2000

For: Rule-Mitigated Collaboration System

and Method

Examiner: not yet assigned

Group Art Unit: not yet assigned

Re: Response to Notice to File Missing Parts of Nonprovisional

Application

Our Docket No. 25609.00010

Date of this paper: April 12, 2001

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

Commissioner of Patents and Trademarks Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application, a copy of which is enclosed, applicants respectfully submit herewith check no. 1267 in the amount of \$65.00 pursuant to 37 CFR 1.16(e) and 37 CFR 1.27, a copy of the executed Declaration/Power of Attorney and executed Statement Claiming Small Entity Status, and substitute drawings pursuant to 37 CFR 1.84. Applicants request that the attached check, executed documents and substitute drawings be entered into the above-identified application prior to examination.



Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express Mail #EL 845468311 US in an envelope addressed to:

Assistant Commissioner for Patents Washington, D.C. 20231

on April 12, 2001.

Signature

Alethiea C. Taylor

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Cover letter dated April 12, 2001 from JoAnne Redmann, Esq. (1 page)
Response to Notice to File Missing Parts of Nonprovisional Application (2 pages)
Notice to File Missing Parts of Nonprovisional Application (2 pages) dated February 15, 2001
(Executed) Statement Claiming Small Entity Status (1 page)
(Executed) Declaration and Power of Attorney (1 page)
15 Figures of drawings (15 pages)
Check No. 1267

Burden Hour Statement: This form is estimated to take 0.03 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Dated: April 12, 2001



Respectfully submitted,

Name: JoAnne S. Redmann, Esq.

Registration No. 31,482 Attorneys for Applicants

CROSBY, HEAFEY, ROACH & MAY 1901 Avenue of the Stars, 7th Fl. Century City, CA 90067 (310) 734-5200

Certification of Mailing Under 37 CFR § 1.8

I hereby certify that this document and the documents referred to as enclosed herein are being deposited with the United States Postal Service on this date, April 12, 2001, as Express Mail #EL 845468311US with sufficient postage addressed to Commissioner U.S. Patent and Trademark Office, 2011 Jefferson Davis Hwy, Washington D.C. 20231-0002.

Date: 4/12/0

Alethiea C. Taylor

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April 12, 2001

BY CERTIFIED MAIL RETURN RECEIPT REQUESTED

Assistant Commissioner for Patents Washington, D.C. 20231

Re:

Application Number 09/752,135

Dear Sir:

Please find enclosed the Response to the Notice To File Missing Parts of Nonprovisional Application dated 2/15/2001, a copy of which has been enclosed, a copy of the Declaration/Power of Attorney and Statement Claiming Small Entity Status. These documents may have already been filed with your office, however, we have included an additional copy, as this file was transferred to us from the law firm that was previously handling this matter and the filing status of these documents is unclear. We thought it best to err on the side of caution in sending a duplicate set of documents.

Very truly yours,

JSR:jvw